



# MMWR™

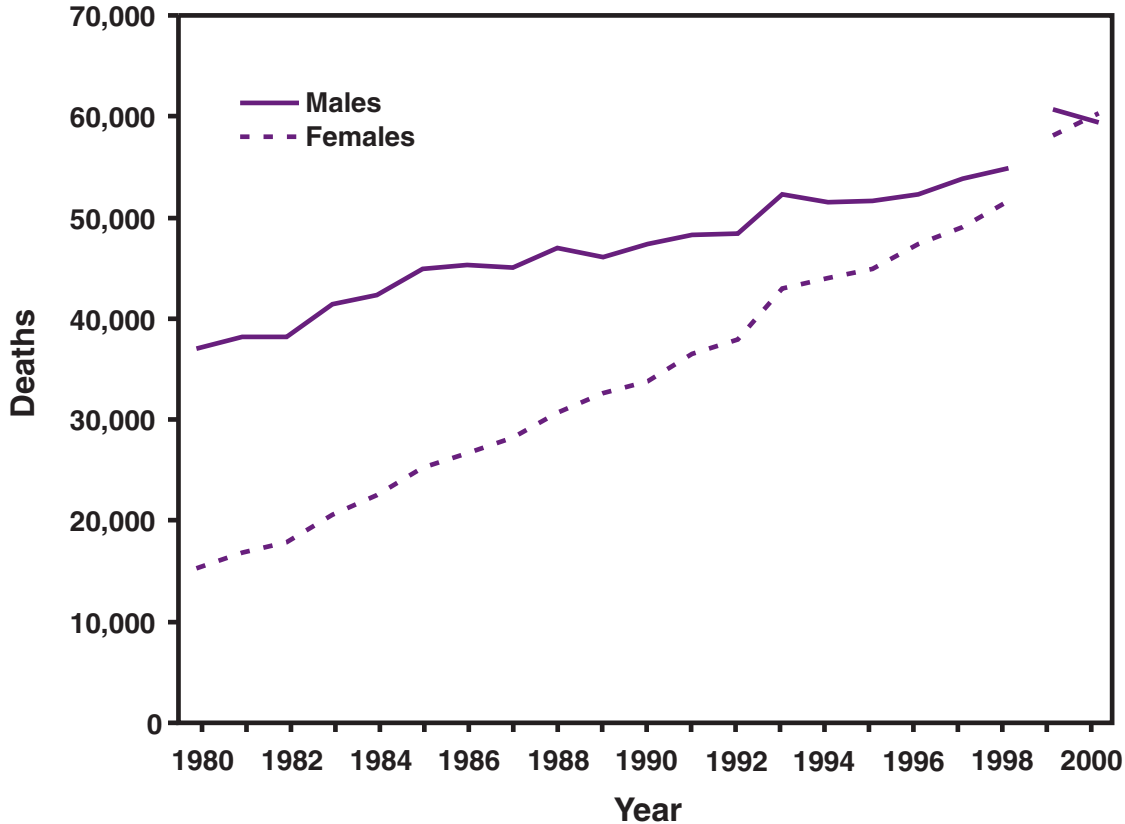
## Morbidity and Mortality Weekly Report

Surveillance Summaries

August 2, 2002 / Vol. 51 / No. SS-6

### Chronic Obstructive Pulmonary Disease Surveillance — United States, 1971–2000

Chronic Obstructive Pulmonary Disease Deaths — United States, 1980–2000



CENTERS FOR DISEASE CONTROL AND PREVENTION

SAFER • HEALTHIER • PEOPLE™

The *MMWR* series of publications is published by the Epidemiology Program Office, Centers for Disease Control and Prevention (CDC), U.S. Department of Health and Human Services, Atlanta, GA 30333.

#### SUGGESTED CITATION

General: Centers for Disease Control and Prevention. *Surveillance Summaries*, August 2, 2002. MMWR 2002;51(No. SS-6).

Specific: [Author(s)]. [Title of particular article]. In: *Surveillance Summaries*, August 2, 2002. MMWR 2002;51(No. SS-6):[inclusive page numbers].

#### Centers for Disease Control and Prevention

Julie L. Gerberding, M.D., M.P.H.  
*Director*

David W. Fleming, M.D.  
*Deputy Director for Science and Public Health*

Dixie E. Snider, Jr., M.D., M.P.H.  
*Associate Director for Science*

#### Epidemiology Program Office

Stephen B. Thacker, M.D., M.Sc.  
*Director*

#### Division of Public Health Surveillance and Informatics

Daniel M. Sosin, M.D., M.P.H.  
*Director*  
*Associate Editor, Surveillance Summaries*

#### Office of Scientific and Health Communications

John W. Ward, M.D.  
*Director*  
*Editor, MMWR Series*

Suzanne M. Hewitt, M.P.A.  
*Managing Editor*

C. Kay Smith-Akin, M.Ed.  
*Project Editor*

Lynda G. Cupell  
Beverly J. Holland  
*Visual Information Specialists*

Quang M. Doan  
Erica R. Shaver  
*Information Technology Specialists*

#### CONTENTS

Introduction .....	1
Methods .....	2
Results .....	4
Discussion .....	5
References .....	7

# Chronic Obstructive Pulmonary Disease Surveillance — United States, 1971–2000

David M. Mannino, M.D.<sup>1</sup>

David M. Homa, Ph.D.<sup>1</sup>

Lara J. Akinbami, M.D.<sup>2</sup>

Earl S. Ford, M.D.<sup>1</sup>

Stephen C. Redd, M.D.<sup>1</sup>

<sup>1</sup>*Division of Environmental Hazards and Health Effects  
National Center for Environmental Health*

<sup>2</sup>*Division of Health and Utilization Analysis  
Office of Analysis, Epidemiology, and Health Promotion  
National Center for Health Statistics*

## Abstract

**Problem/Condition:** Chronic obstructive pulmonary disease (COPD) includes chronic bronchitis and emphysema but has been defined recently as the physiologic finding of nonreversible pulmonary function impairment. This surveillance summary reports trends in different measures of COPD during 1971–2000.

**Reporting Period Covered:** This report presents national data regarding objectively determined COPD (1971–1994); COPD-associated activity and functional limitations (1980–1996); self-reported COPD prevalence, COPD physician office and hospital outpatient department visits, COPD hospitalizations, and COPD deaths (1980–2000); and COPD emergency department visits (1992–2000).

**Description of Systems:** CDC's National Center for Health Statistics (NCHS) conducts the National Health Interview Survey annually, which includes questions concerning COPD and activity limitations. NCHS collects physician office-visit data in the National Ambulatory Medical Care Survey, emergency department and hospital outpatient department data in the National Hospital Ambulatory Medical Care Survey, hospitalization data in the National Hospital Discharge Survey, and death data in the Mortality Component of the National Vital Statistics System. Data regarding pulmonary function were obtained from the National Health and Nutrition Examination Surveys (NHANES) I (1971–1975) and III (1988–1994), and data regarding functional limitation were obtained from NHANES III, Phase 2 (1991–1994).

**Results:** During 2000, an estimated 10 million U.S. adults reported physician-diagnosed COPD. However, data from NHANES III estimate that approximately 24 million U.S. adults have evidence of impaired lung function, indicating that COPD is underdiagnosed. During 2000, COPD was responsible for 8 million physician office and hospital outpatient visits, 1.5 million emergency department visits, 726,000 hospitalizations, and 119,000 deaths. During the period analyzed, the most substantial change was the increase in the COPD death rate for women, from 20.1/100,000 in 1980 to 56.7/100,000 in 2000, compared with the more modest increase in the death rate for men, from 73.0/100,000 in 1980 to 82.6/100,000 in 2000. In 2000, for the first time, the number of women dying from COPD surpassed the number of men dying from COPD (59,936 versus 59,118). Another substantial change observed is that the proportion of the population aged <55 years with mild or moderate COPD, on the basis of pulmonary function testing, decreased from 1971–1975 to 1988–1994, possibly indicating that the upward trends in COPD hospitalizations and mortality might not continue.

**Interpretation:** COPD is a major cause of morbidity, mortality, and disability in the United States. Despite its ease of diagnosis, COPD remains an underdiagnosed disease, chiefly in its milder and more treatable form.

## Introduction

Chronic obstructive pulmonary disease (COPD) is a group of diseases characterized by airflow obstruction that can be associated with breathing-related symptoms (e.g., chronic cough, exertional dyspnea, expectoration, and wheeze) (1). COPD can be present with or without substantial physical

impairment or symptoms, and it is the fourth leading cause of death in the United States (2). However, COPD is often a silent and unrecognized disease, chiefly in its early phases (3). During 1993, the estimated direct medical costs of COPD were \$14.7 billion (4). Also during 1993, the estimated indirect cost related to morbidity (e.g., loss of work time and productivity) and premature mortality was an additional \$9.2

billion, for a total of \$23.9 billion. *Healthy People 2010* includes two objectives related to COPD: to reduce the proportion of adults whose activity is limited because of chronic lung and breathing problems to 1.5% (Objective 24-9) and to reduce deaths from COPD among adults aged  $\geq 45$  years to 60 deaths/100,000 (Object 24-10) (5).

Traditionally, COPD has been diagnosed on the basis of patient-reported symptoms (6,7). The recently published definition from the Global Initiative for Chronic Obstructive Lung Disease (GOLD) has classified COPD as "a disease state characterized by airflow limitation that is not fully reversible" (8) and recommends measurement of lung function both to diagnose disease and categorize disease severity. Airflow limitation is the slowing of expiratory airflow as measured by spirometry, with a persistently low forced expiratory volume in 1 second ( $FEV_1$ ) and a low  $FEV_1$ /forced vital capacity (FVC) ratio despite treatment (7). The GOLD criteria for mild COPD (stage 1) is an  $FEV_1$ /FVC ratio of  $<70\%$  and  $FEV_1$  of  $>80\%$  predicted, and the criteria for moderate COPD (stage 2 or 3) is an  $FEV_1$ /FVC ratio of  $<70\%$  and an  $FEV_1$   $\leq 80\%$  predicted (8,9).

This report presents national data regarding objectively determined (i.e., by spirometry) COPD (1971–1994); COPD-associated activity and functional limitations (1980–1996); self-reported COPD prevalence, COPD physician office and hospital outpatient department visits, COPD hospitalizations, and COPD deaths (1980–2000); and COPD emergency department visits (1992–2000).

## Methods

For this report, we defined COPD as including chronic bronchitis and emphysema when we used survey data based on clinical diagnosis. In doing so, asthma and bronchiectasis were excluded, but they are often included in other definitions of COPD and related conditions. Asthma was excluded because its etiology and treatment differs from that for COPD, although clinical similarities exist between these conditions. CDC recently published surveillance data for asthma (10). Bronchiectasis was excluded because it typically is caused by infection and has a different treatment and prognosis than COPD.

Data from national health surveys conducted by CDC's National Center for Health Statistics (NCHS) were used to report these measures. In addition, the resident population estimates from the U.S. Bureau of the Census were used as denominators for rates of COPD office visits, COPD emergency department visits, COPD hospitalizations, and COPD deaths. These denominators vary slightly from those typically

used with these databases because we wanted a consistent denominator for these measures. The National Health and Nutrition Examination Surveys (NHANES) and National Health Interview Survey (NHIS) weights (corresponding to the civilian, noninstitutionalized population of the United States) were used to estimate the population when those data sets were analyzed. When calculating rates that used pulmonary function data, we limited the denominator to subjects who had pulmonary function testing performed. In the tables for this report, annual estimates are listed for selected years (1980, 1985, 1990, and 1995–2000), and in the figures, annual estimates are listed for 1980–2000 for the majority of measures. Measures that were only available for limited years included 1) activity limitation, for which years are grouped because the denominator (persons with COPD) was smaller; 2) objectively determined COPD, for which two samples (1971–1975 and 1988–1994) were available; and 3) functional limitation, for which one sample (1991–1994) was available.

Our results were age-adjusted to the 2000 U.S. population by using five age groups (i.e., 25–44 years, 45–54 years, 55–64 years, 65–74 years, and  $\geq 75$  years). Data were stratified by sex, race (i.e., white, black, and other), and age group (i.e., 25–44 years, 45–54 years, 55–64 years, 65–74 years, and  $\geq 75$  years) for subgroup analyses. Persons of other races (e.g., Asians and American Indians) were grouped together because their numbers in individual racial groups were too limited to yield statistically stable estimates. We analyzed all data by using SAS (11) and SUDAAN (12). Two-tailed, weighted, least-squares regression (by using the inverse of the relative standard error of the estimate as the weight) was used to determine whether linear trends were statistically significant. Trend testing was performed only when  $\geq 5$  years of continuous data were available for analysis. Two-tailed t-tests were used to compare measures between racial groups, age groups, and males and females. By using the Bonferroni adjustment for multiple comparison among  $\leq 5$  groups, we considered a familywise p-value of 0.05 as statistically significant.

## Self-Reported Prevalence

NCHS conducts NHIS annually among a probability sample of the civilian, noninstitutionalized population of the United States (13). Before 1997, for one-sixth of the NHIS-sampled households (approximately 20,000 of 120,000 persons), respondents were asked whether anyone in the household had any of 17 chronic respiratory conditions, including chronic bronchitis or emphysema, during the preceding 12 months. Under this design, information related to COPD among adults might have been reported by the

respondents themselves or by a household respondent. A COPD diagnosis was determined if the response was positive to either of the following questions: “During the past 12 months did [you] have bronchitis,” if the condition was listed as chronic, or “During the past 12 months did [you] have emphysema?”

Beginning in 1997, NHIS collected information concerning COPD for a randomly selected adult in each household. COPD prevalence was determined if participants responded positively to either of the following questions: “Have you ever been told by a doctor or other health professional that you had emphysema,” or “During the past 12 months, have you been told by a doctor or other health professional that you had chronic bronchitis?” (13).

NHANES III was conducted during 1988–1994 (12). Subjects were asked, “Has a doctor ever told you that you had chronic bronchitis;” “Has a doctor ever told you that you had emphysema;” and “Do you still have chronic bronchitis?” Subjects were regarded as having COPD if they reported a diagnosis of emphysema or current chronic bronchitis. SUDAAN was used to determine relative standard errors (RSEs) of the estimates and to indicate which estimates were reliable (i.e., RSE < 30%) (14).

## Objectively Determined Prevalence

During 1971–1975, NCHS conducted the first National Health and Nutrition Examination Survey (NHANES I) (15,16). Both NHANES I and NHANES III were probability samples of the civilian, noninstitutionalized U.S. population. Spirometry was obtained on a subset of survey participants (5,080 adults in NHANES I and 13,869 adults in NHANES III). The procedures used have been documented previously (12,16). Values used in this analysis included FVC, FEV<sub>1</sub>, and the FEV<sub>1</sub>/FVC ratio. Predicted values of FEV<sub>1</sub> and FVC were identified by performing linear regression (stratified by sex and by using age and height as predictors) on a subgroup of participants who were white and who had never smoked and did not report respiratory symptoms or physician-diagnosed lung disease. Results from these regression models were applied to the data from all participants to obtain predicted values of FEV<sub>1</sub> and FVC. An adjustment factor of 0.88 was used to estimate predicted values for black participants (17). Participants were classified as having moderate obstructive lung disease if both the FEV<sub>1</sub>/FVC ratio was <70% and the FEV<sub>1</sub> was ≤80% of the predicted value (8). Participants were classified as having mild obstructive lung disease if the FEV<sub>1</sub>/FVC ratio was <70% and the FEV<sub>1</sub> was >80% of the predicted value.

## Activity and Functional Limitations

Through 1996, NHIS provided data related to activity limitations overall and resulting from specific conditions. Activity limitations were classified into one of four groups: unable to perform major activity, certain limitation in major activity, limitation in other activities, or no activity was limited. Major activity was defined as working or keeping house for adults aged 18–69 years, and ability to execute activities of independent living for those aged ≥70 years. The percentage of persons with and without COPD who reported activity limitation (including unable to perform major activities, limited in major activities, and limited in other activities) was calculated for all adults aged ≥25 years. The percentage of persons who reported having COPD and who also reported COPD-associated activity limitation was also calculated. Respondents were categorized as limited because of COPD if they reported that COPD was the primary or secondary cause of the limitation. Because of the relatively low number of persons reporting limited activity, multiple years of data were used to obtain stable estimates.

Data from NHANES III, Phase 2 (1991–1994), were used to determine physical functional limitation. During the home interview, participants aged ≥17 years were asked a series of questions regarding their physical functioning. From these questions, the following three items were selected: difficulty walking ¼ mile; lifting or carrying something weighing 10 pounds; and need of help from other persons in handling routine tasks (e.g., doing everyday household chores, conducting necessary business, shopping, or getting around for other purposes). For the first two items (difficulty walking or lifting), respondents could answer “no difficulty,” “some difficulty,” “much difficulty,” and “unable to do.” These responses were dichotomized into no difficulty and any difficulty. For the last item (handling routine needs), respondents could respond yes or no. The age-adjusted proportion of functional limitation among participants with current reported COPD (chronic bronchitis or emphysema) and no reported COPD were identified (3), and this was repeated for the same group of participants stratified by level of pulmonary function impairment. SUDAAN was used to determine RSEs of the estimates (14).

## Physician Office Visits, Hospital Outpatient Department Visits, and Emergency Department Visits

Ambulatory medical care is the predominant means of providing health-care services in the United States. We considered both physician office visits and hospital outpatient department visits, which are collected, by using



different surveys, as office visits and emergency department visits separately. Physician office-visit data were collected through the National Ambulatory Medical Care Survey (NAMCS), which NCHS conducted during 1973–1981, 1985, and annually since 1989 (18). Approximately 2,000 physicians participated each year, reporting data concerning approximately 30,000 patient encounters. Hospital outpatient-visit data and emergency department-visit data were collected by using the National Hospital Ambulatory Medical Care Survey (NHAMCS), which has been conducted annually since 1992 (19). Approximately 500 hospitals are sampled each year, resulting in approximately 30,000 outpatient department encounters and 30,000 emergency department encounters.

By using both data sets, we identified all patient visits for which COPD (*International Classification of Diseases*, 9<sup>th</sup> revision, Clinical Modification (ICD-9-CM), codes 490–492, 496) (20) was the first-listed diagnosis. Sample weights were used to obtain national estimates of annual outpatient visits (physician office and hospital outpatient department visits were combined beginning in 1992) and emergency department visits for COPD. RSEs, which are listed with the database documentation, were used to determine which estimates were reliable (i.e., RSE < 30%) (21).

## Hospitalizations

The National Hospital Discharge Survey (NHDS), conducted annually by NCHS since 1965, is a national survey of approximately 275,000 patient records from approximately 500 nonfederal general and short-stay specialty hospitals. A hospitalization for COPD was defined as a primary discharge diagnosis of COPD (ICD-9-CM codes 490–492, 496) (20). In addition, a hospitalization was considered as a result of COPD if it had a first-listed diagnosis of acute bronchitis (ICD-9-CM code 466–466.1) accompanied by another listed diagnosis of COPD. During 1980–1982, approximately 15%–24% of all first-listed diagnoses of acute bronchitis were accompanied by another listed diagnosis of COPD (chronic bronchitis, emphysema or COPD, not specified); however, during 1983–1991, this proportion ranged from 39% to 58%. During 1992, a new ICD-9-CM code, 491.21, was introduced to categorize obstructive chronic bronchitis with acute exacerbation. After the introduction of this new code, 3%–10% of all first-listed diagnoses of acute bronchitis were accompanied by another listed diagnosis of COPD during 1992–2000. During any selected year, race was missing for 5%–20% of the sample (22). These persons were excluded from the race-specific rate calculations but were included in all other rate calculations. Published relative standard errors (21) were used to indicate which estimates were reliable (i.e., RSE < 30%).

## Mortality

The Mortality Component of the National Vital Statistics System includes medical conditions and reported demographic characteristics regarding death (23). We searched for deaths for which COPD was the underlying cause (ICD-9 codes 490–492, 496, for 1980–1998; ICD-10 codes J40–J44 for 1999–2000) (24,25). In ICD-10, the term chronic lower respiratory disease is used to describe the diseases encompassed by the term COPD. Comparability ratios for ICD-10 relative to ICD-9 for COPD are as follows: 0.97 for emphysema, 1.10 for other chronic lower respiratory diseases, 0.39 for chronic and unspecified bronchitis, and 1.05 for all chronic lower respiratory diseases, which includes asthma (26). Standard errors were calculated as the square root of the inverse of the number of deaths (23).

## Results

### Prevalence

The estimated number and rates of persons reporting COPD are included in this report (Tables 1 and 2) (Figures 1–3). Whites had statistically significant higher rates than blacks for certain years examined (Figure 2). Since 1987, women have had higher rates of self-reported COPD than men, and during 1980–1996, the trend for COPD increased for women but not for men (Figure 3). The 1997 redesign of NHIS might have had an effect on COPD prevalence, although the observed year-to-date variation in COPD prevalence makes this difficult to discern (Figures 2 and 3).

Data from NHANES I and NHANES III estimating the prevalence of COPD on the basis of spirometric definitions are presented (Tables 3 and 4) (Figure 1). For both mild and moderate COPD, the prevalence was higher among men than women and increased with increasing age. From NHANES I to NHANES III, prevalence of moderate COPD decreased among men but not among women (Table 4). When stratified by age group, a statistically significant decrease occurred in moderate COPD among persons aged 25–54 years, but not among other groups; and, when stratified by race, a similar decrease occurred among blacks, but not whites.

### Activity and Functional Limitations

The age-adjusted employment rate is lower among persons who report they have COPD (Table 5). During the period analyzed, only limited change occurred in prevalence of activity limitation among adults with self-reported COPD (Table 5). Adults with COPD have a prevalence of any activity limitation approximately twice as high as adults without

COPD. During 1994–1996, 8.0% of persons with COPD reported activity limitation caused by their COPD.

Respondents with self-reported COPD had a higher proportion of functional limitation than did persons without self-reported COPD (Table 6). Among subjects with spirometrically determined COPD, no measures of functional impairment were substantially increased, compared with subjects with normal lung function (Table 6).

## Physician Office and Hospital Outpatient Department Visits

Data for physician office and hospital outpatient department visits for COPD are presented in this report (Tables 7 and 8). No statistically significant trend during 1989–2000 was identified (Figure 4). Whites had a significantly higher rate of visits than did blacks during certain years examined, although estimates for blacks were unreliable for the majority of years. No consistent differences were identified between men and women for physician office and hospital outpatient department visits for COPD, but visit rates increased with increasing age.

## Emergency Department Visits

Data for emergency department visits for COPD are presented (Tables 9 and 10). During the study period, a significant upward trend occurred in emergency department visits for COPD. Blacks had consistently higher rates than whites for the majority of years examined (Figure 5). No consistent pattern for sex was observed. Within age groups, the highest rates were observed among older age groups.

## Hospitalizations

Data for hospitalizations for COPD are presented (Tables 11 and 12). During 1984–1989, hospitalization rates for COPD decreased, but during 1990–1999, hospitalization rates increased (Figures 6 and 7). Hospitalization rates for COPD among whites were greater than those among blacks during 1980–1987, after which rates have been similar (Figure 7). However, approximately  $\leq 20\%$  of hospitalizations did not have race listed (22). Hospitalization rates for men were greater than those for women through the 1980s; however, since 1995, these rates have been similar (Figure 6). Since 1990, hospitalizations for COPD have increased among all age groups, with the largest increases observed for those persons aged 65–74 years (62%) and those aged  $\geq 75$  years (52%).

## Deaths

During 1980–2000, the overall death rate for COPD increased 67% (Tables 13 and 14). During this period, rates

among whites increased 67%, and rates among blacks increased 87%. However, death rates for whites remained higher than those for blacks throughout the 21-year period. During 1980–2000, death rates for COPD among men increased 13%; however, death rates among men remained steady since 1985. During 1980–2000, death rates for COPD among women approximately tripled and increased steadily throughout the period (Figure 8). During 2000, the number of women who died from COPD was, for the first time, higher than the number of men who died from COPD.

During 1999–2000, death rates for COPD were higher, compared with 1998. Because the comparability ratio for coding COPD under ICD-10 compared with ICD-9 is  $>1$ , which results in a higher number of COPD deaths under ICD-10, increases observed for 1999 reflect in part the impact of a new disease classification system.

## Discussion

These data identified certain trends in COPD-related morbidity and mortality in the United States. First, COPD-related deaths among women continued to increase. By 2000, the number of COPD deaths among women surpassed the number among men, although the population-based mortality rates remain higher among men. Second, since 1989, COPD hospitalizations have also increased, combined with an elimination of the difference in hospitalization rates between men and women. Third, the proportion of the population aged  $<55$  years with mild or moderate COPD, on the basis of pulmonary function testing, decreased from 1971–1975 to 1988–1994, whereas this proportion did not change substantially among other age groups.

The increasing trends in COPD hospitalizations and mortality among women reported here probably reflect the increase in smoking by women since the 1940s, relative to men, in the United States (27). The natural history of COPD among smokers is that smoking behaviors start during youth, lung function decline becomes apparent when smokers reach age 40–50 years, hospitalizations begin when smokers reach age 50–69, and deaths occur when they reach age 60–79 (1). The data in this report support this natural history with regard to different trends among men and women: That is, since the late 1980s, women have had a similar hospitalization rate for COPD (Figure 6); and since 1980, the COPD mortality gap between women and men has narrowed. However, the decreasing proportion of adults aged 25–54 years with objective evidence of COPD (Table 4) is most likely related to lower smoking prevalence among the U.S. population since the 1960s (27).

Objective measures are critical in determining whether COPD is present. Historically, COPD has been defined by the presence of certain symptoms (e.g., cough and sputum production). Compared with COPD defined by using pulmonary function testing, this historic method results in underdiagnosis of this condition, chiefly among older populations and among persons with mild disease (3). The GOLD criteria (9) allow clinicians to diagnose and classify COPD on the basis of pulmonary function testing. These criteria recognize that symptoms might be present or absent, even among persons with substantial degrees of impairment. For example, the data demonstrate that a higher proportion of men had evidence of obstructive lung disease than women (Table 4), which is supported by the consistent finding of a higher COPD death rate among men (Table 14). This can be contrasted with the higher proportion of women reporting COPD on the basis of physician diagnosis, which is not necessarily based on objective criteria.

During 1994–1996, approximately 8.0% of adults with COPD reported activity limitation caused by COPD (Table 5). Whether this measure captured completely the degree of disability associated with COPD is unclear because participants might not have accurately classified the cause of their disabilities or they might have comorbid conditions that cause disability also. For example, during 1994–1996, a total of 38.6% of participants with COPD and 18.4% of those without COPD reported activity limitation, indicating that COPD-associated activity limitation might be higher than the 8.0% reported or that other comorbid diseases, which are possibly smoking-related, occur more frequently among persons with COPD. The data regarding reported functional limitation indicated a higher prevalence of limitation among participants with COPD (Table 6). Actual testing of functional capabilities relevant to COPD (e.g., a 6-minute walk) could not be performed in NHANES III because of time limitations.

The dramatic drop in COPD hospitalizations during 1983–1989 is probably related to systematic changes in U.S. health care at that time (e.g., introduction of diagnosis-related groups for compensation, overall pressures within the health-care system to decrease hospitalizations, or other unknown factors). Our observation that COPD mortality steadily increased throughout this period would indicate that the observed decrease was not related to a lower prevalence of severe COPD among the population. Since 1989, COPD hospitalization rates have steadily increased, with the rate among women now similar to that among men and the rate among blacks similar to that among whites.

Tobacco use is the key risk factor in COPD development and progression, and trends in COPD mortality among

women reported here reflect the recent increase in smoking by women, relative to men, in the United States (27). Although tobacco smoking is the most critical risk factor for both development and progression of COPD, asthma (28), exposure to ambient pollutants in the home and workplace (29), and respiratory infections (30,31) are also key factors. In addition, COPD is a risk factor for other outcomes (e.g., lung cancer or early death) (32,33). Early detection of COPD might alter its course and prognosis. The National Lung Health Education Program (NLHEP) is a new health-care initiative aimed at involving primary-care physicians in early identification and treatment of obstructive lung disease (34). NLHEP is promoting widespread use of simple office spirometry to measure pulmonary function among current and former smokers aged  $\geq 45$  years and anyone with respiratory symptoms. Although spirometry is a relatively easy test to perform, it does require training of both the test administrator and the patient to obtain an accurate result.

Two *Healthy People 2010* objectives relate to COPD: Reduce proportion of adults whose activity is limited because of chronic lung and breathing problems among adults aged  $\geq 45$  years to 1.5% in 2010 (Objective 24-9), compared with 2.2% in 1997, and reduce deaths from COPD among adults aged  $\geq 45$  years to 60 deaths/100,000 in 2010, compared with 119.4 deaths/100,000 in 1998 (Objective 24-10) (5) (the 1998 estimate of 61.3 deaths/100,000 in this report is for adults aged  $\geq 25$  years, and a 50% decrease would result in a goal of 30 deaths/100,000). The first goal, which is related both to the prevalence of COPD among adults and the proportion of those with activity limitation, seems achievable, although, as noted previously, persons with COPD might underestimate the amount of their activity limitation that is related to COPD. The second goal, given the continued high rates of COPD mortality in 1999 and 2000 and the aging of the population, will likely not be achieved if the current trends continue.

The greatest challenge in COPD surveillance is related to the case definition for COPD. If one assumes that persons with moderate obstructive lung disease have clinically significant COPD, using chronic bronchitis in addition to emphysema to define COPD in surveys probably overestimates COPD among younger populations and underestimates it among older ones (Figure 1). Although the term COPD is increasingly being used by both patients and physicians, it is not typically used in health surveys. In addition, depending on physician diagnosis is problematic because COPD is frequently silent and, in the absence of spirometry, often undiagnosed (3). Even using spirometry, as in NHANES I and III, might result in the misclassification of conditions among certain persons who have short-term reversibility in their airway obstruction, because reversibility testing has not



been done in national surveys in the United States. Finally, although we did not include asthma in this report, asthma and COPD can coexist and can be difficult to differentiate, chiefly among older populations (3).

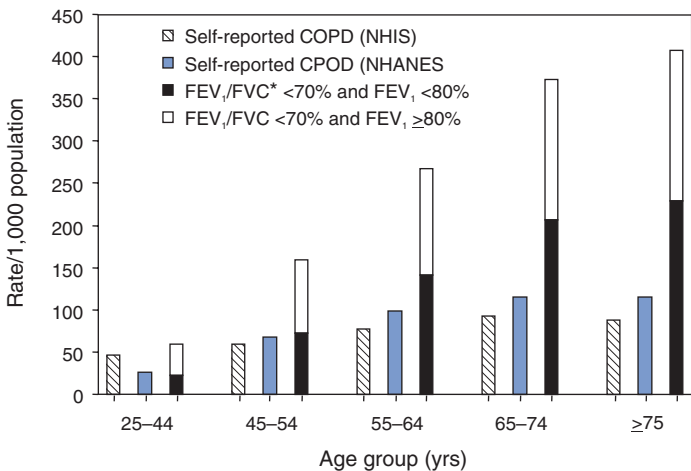
The continuing increase in COPD hospitalizations among men and women and the increase in COPD deaths among women is problematic and highlights the need for both clinical and public health interventions. Conversely, the decrease in COPD, as determined by pulmonary function testing, among persons aged <55 years, might indicate that tobacco-control efforts and other efforts to improve respiratory protection in recent years might be having a positive effect that will ultimately result in less COPD morbidity and mortality.

### References

- Rennard SI. COPD: overview of definitions, epidemiology, and factors influencing its development. *Chest* 1998;113(4 Suppl):235S–41S.
- National Center for Health Statistics. Deaths: final data for 1999. Hyattsville, MD: US Department of Health and Human Services, CDC, 2001. (National Vital Statistics Report; vol 49, no. 8). Available at <http://www.cdc.gov/nchs/releases/01facts/99mortality.htm>.
- Mannino DM, Gagnon RC, Petty TL, Lydick E. Obstructive lung disease and low lung function in adults in the United States: data from the National Health and Nutrition Examination Survey, 1988–1994. *Arch Intern Med* 2000;160:1683–9.
- Sullivan SD, Ramsey SD, Lee TA. Economic burden of COPD. *Chest* 2000;117(2 Suppl):5S–9S.
- US Department of Health and Human Services. Respiratory diseases [Goal 24]. In: *Healthy people 2010* (conference ed, vol II). Washington, DC: US Department of Health and Human Services, 2000: 24–20–3.
- Anonymous. Standards for the diagnosis and care of patients with chronic obstructive pulmonary disease. American Thoracic Society. *Am J Respir Crit Care Med* 1995;152(5 Pt 2):S77–121.
- Siafakas NM, Vermeire P, Pride NB, et al. Optimal assessment and management of chronic obstructive pulmonary disease (COPD). The European Respiratory Society Task Force. *Eur Respir J* 1995;8:1398–1420.
- Pauwels RA, Buist AS, Calverley PM, Jenkins CR, Hurd SS. Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease. NHLBI/WHO Global Initiative for Chronic Obstructive Lung Disease (GOLD) Workshop summary. *Am J Respir Crit Care Med* 2001;163:1256–76.
- World Health Organization. Global initiative for chronic obstructive lung disease. Geneva, Switzerland: World Health Organization, 2002. Available at <http://www.goldcopd.com/main.html>.
- Mannino DM, Homa DM, Akinbami LJ, Moorman JE, Gwynn C, Redd SC. Surveillance for Asthma—United States, 1980–1999. In: *Surveillance Summaries*, March 29, 2002. *MMWR* 2002;51(No. SS-1):1–13.
- SAS Institute, Inc. SAS language and procedures: usage. Version 6, 1<sup>st</sup> ed. Cary, NC: SAS Institute, Inc., 1989.
- Shah BV, Barnwell BG, Bieler GS. SUDAAN user's manual. Release 7.5. Research Triangle Park, NC: Research Triangle Institute, 1997.
- National Center for Health Statistics. National Health Interview Survey: research for the 1995–2004 redesign. Hyattsville, MD: US Department of Health and Human Services, CDC, 1999. (Vital and health statistics; series 2, no. 126:1–119). Available at [http://www.cdc.gov/nchs/data/series/sr\\_02/sr2\\_126.pdf](http://www.cdc.gov/nchs/data/series/sr_02/sr2_126.pdf).
- National Center for Health Statistics. Plan and operation of the HANES I augmentation survey of adults 25–74 years: United States, 1974–75. Hyattsville, MD: US Department of Health, Education, and Welfare, Public Health Service, National Center for Health Statistics, 1978. (Programs and collection procedures; series 1, no. 14; DHEW publication no. [PHS] 78-1314). Available at [http://www.cdc.gov/nchs/data/series/sr\\_01/sr01\\_014.pdf](http://www.cdc.gov/nchs/data/series/sr_01/sr01_014.pdf).
- National Center for Health Statistics. Plan and operation of the Health and Nutrition Examination Survey: United States-1971–73. Hyattsville, MD: US Department of Health, Education, and Welfare, Public Health Service, National Center for Health Statistics, 1973. (Programs and collection procedures, series 1, no. 10a; DHEW publication no. [PHS] 79-1310). Available at [http://www.cdc.gov/nchs/data/series/sr\\_01/sr01\\_010a.pdf](http://www.cdc.gov/nchs/data/series/sr_01/sr01_010a.pdf).
- National Center for Health Statistics. Plan and operation of the Third National Health and Nutrition Examination Survey, 1988–1994. Hyattsville, MD: US Department of Health and Human Services, Public Health Service, CDC, 1994. (Vital and health statistics; series 1, no. 32). Available at [http://www.cdc.gov/nchs/data/sr1\\_32.pdf](http://www.cdc.gov/nchs/data/sr1_32.pdf).
- Anonymous. Lung function testing: selection of reference values and interpretive strategies. American Thoracic Society. *Am Rev Respir Dis* 1991;144:1202–18.
- National Center for Health Statistics, Bryant E, Shimizu I. Sample design, sampling variance, and estimation procedures for the National Ambulatory Medical Care Survey. Hyattsville, MD: US Department of Health and Human Services, Public Health Service, CDC, National Center for Health Statistics, 1988. (Vital and health statistics; series 2, no. 108:1–39). Available at [http://www.cdc.gov/nchs/data/series/sr\\_02/sr2\\_108.pdf](http://www.cdc.gov/nchs/data/series/sr_02/sr2_108.pdf).
- National Center for Health Statistics, McCaig LF. National Hospital Ambulatory Medical Care Survey: 1992—emergency department summary. Hyattsville, MD: US Department of Health and Human Services, Public Health Service, CDC, National Center for Health Statistics, 1994. (Advance data; no. 245:1–12). Available at <http://www.cdc.gov/nchs/data/ad/ad245.pdf>.
- US Department of Health and Human Services, Health Care Financing Administration. International classification of diseases, 9<sup>th</sup> revision, clinical modification. 3<sup>rd</sup> ed. Washington, DC: US Department of Health and Human Services, Public Health Service, Health Care Financing Administration, 1989.
- National Center for Health Statistics. Public-use data files and documentation. Hyattsville, MD: US Department of Health and Human Services, CDC, National Center for Health Statistics, 2002. Available at <http://www.cdc.gov/nchs/datawh/ftp/ftpdata/ftpdata.htm>.
- National Center for Health Statistics, Kozak LJ. Underreporting of race in the National Hospital Discharge Survey. Hyattsville, MD: US Department of Health and Human Services, Public Health Service, CDC, National Center for Health Statistics, 1995. (Advance data; no. 265:1–12). Available at <http://www.cdc.gov/nchs/data/ad/ad265.pdf>.
- National Center for Health Statistics. National Vital Statistics System. Hyattsville, MD: US Department of Health and Human Services, CDC, National Center for Health Statistics, 2002. Available at <http://www.cdc.gov/nchs/nvss.htm>.

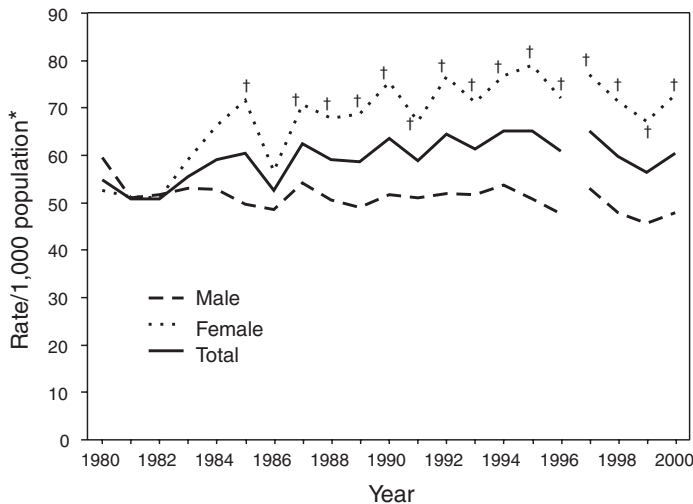
24. World Health Organization. Manual of the international statistical classification of diseases, injuries, and causes of death, 9<sup>th</sup> revision, vol 1. Geneva: World Health Organization, 1977.
25. World Health Organization. International statistical classification of diseases and related health problems, 10<sup>th</sup> revision. Geneva: World Health Organization, 1992.
26. National Center for Health Statistics, Anderson RN, Miniño AM, Hoyert DL, Rosenberg HM. Comparability of cause of death between ICD-9 and ICD-10: preliminary estimates. Hyattsville, MD: US Department of Health and Human Services, CDC, National Center for Health Statistics, 2001. (National vital statistics reports; vol 49, no. 2). Available at [http://www.cdc.gov/nchs/data/nvsr/nvsr49/nvsr49\\_02.pdf](http://www.cdc.gov/nchs/data/nvsr/nvsr49/nvsr49_02.pdf).
27. Shopland DR. Tobacco use and its contribution to early cancer mortality with a special emphasis on cigarette smoking. *Environ Health Perspect* 1995;103(Suppl 8):131–42.
28. Ulrik CS, Backer V. Nonreversible airflow obstruction in life-long nonsmokers with moderate to severe asthma. *Eur Respir J* 1999;14:892–6.
29. Becklake MR. Chronic airflow limitation: its relationship to work in dusty occupations. *Chest* 1985;88:608–17.
30. Hogg JC. Chronic bronchitis: the role of viruses. *Semin Respir Infect* 2000;15:32–40.
31. Kraft M, Cassell GH, Henson JE. Detection of *Mycoplasma pneumoniae* in the airways of adults with chronic asthma. *Am J Respir Crit Care Med* 1998;158:998–1001.
32. Tockman MS, Anthonisen NR, Wright EC, Donithan MG. Airways obstruction and the risk for lung cancer. *Ann Intern Med* 1987;106:512–8.
33. Weiss ST, Segal MR, Sparrow D, Wager C. Relation of FEV<sub>1</sub> and peripheral blood leukocyte count to total mortality. The Normative Aging Study. *Am J Epidemiol* 1995;142:493–8.
34. Anonymous. Strategies in preserving lung health and preventing COPD and associated diseases. The National Lung Health Education Program (NLHEP). *Chest* 1998;113(2 Suppl):123S–63S.

**FIGURE 1. Estimated prevalence of self-reported chronic obstructive pulmonary disease (COPD), by age group — United States, questionnaire data from the National Health Interview Survey (NHIS), 1988–1994, and questionnaire and pulmonary function data from the Third National Health and Nutrition Examination Survey (NHANES III), 1988–1994**



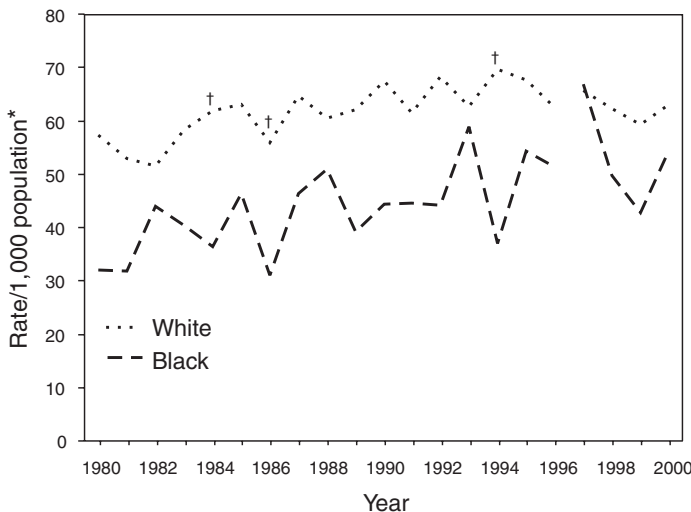
\* FEV<sub>1</sub> is the forced expiratory volume in 1 second, and FVC is the forced expiratory volume.

**FIGURE 2. Estimated annual prevalence of self-reported chronic obstructive pulmonary disease, by sex and year — United States, National Health Interview Survey, 1980–2000**



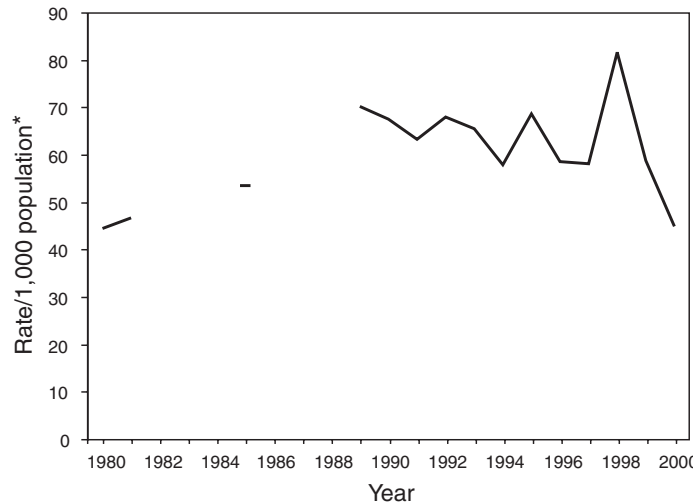
\* Age-adjusted to 2000 U.S. population.  
† Represents a statistically significant difference from rate among males.

**FIGURE 3. Estimated annual prevalence of self-reported chronic obstructive pulmonary disease, by race and year — United States, National Health Interview Survey, 1980–2000**



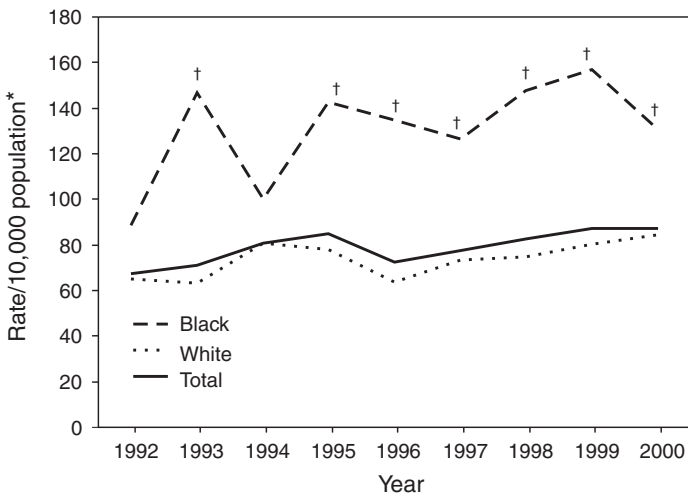
\* Age-adjusted to 2000 U.S. population.  
† Represents a statistically significant difference from rate among blacks.

**FIGURE 4. Estimated annual rate of office visits with chronic obstructive pulmonary disease as the first-listed diagnosis, by year — United States, National Ambulatory Medical Care, 1980–1998, and National Hospital Ambulatory Medical Care Survey, 1992–2000**



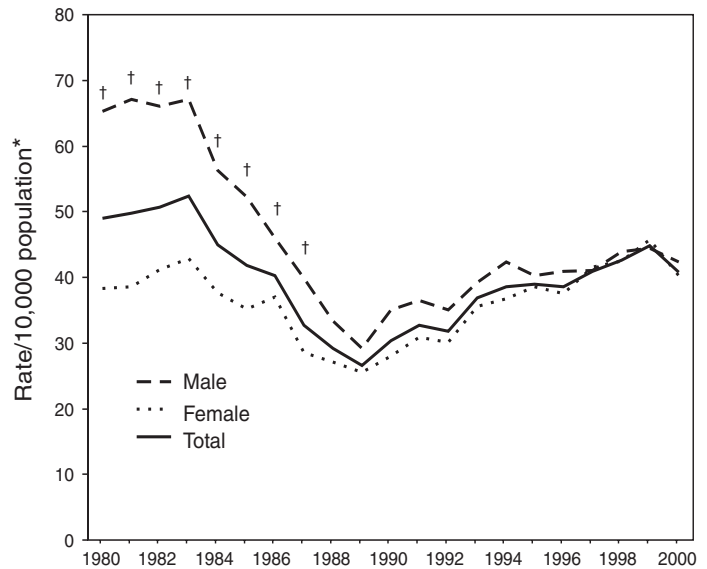
\* Age-adjusted to 2000 U.S. population.

**FIGURE 5. Estimated annual rate of emergency department visits for chronic obstructive pulmonary disease as the first-listed diagnosis, by race and year — United States, National Hospital Ambulatory Medical Care Survey, 1992–2000**



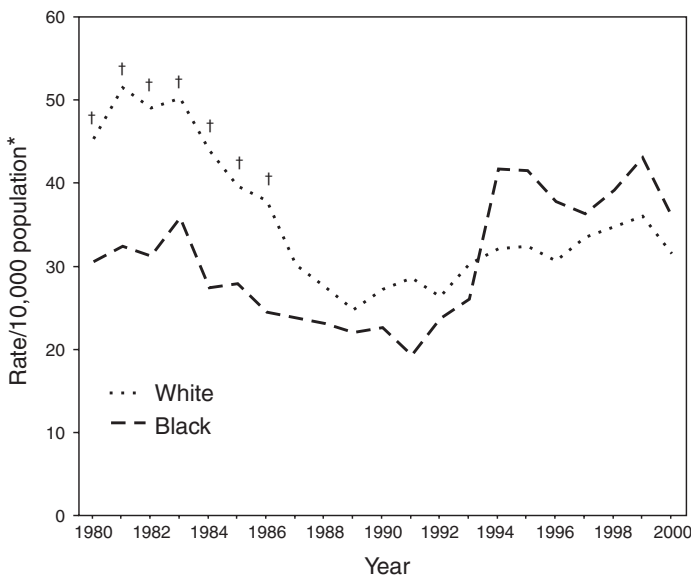
\* Age-adjusted to 2000 U.S. population.  
 † Represents a statistically significant difference from rate among whites.

**FIGURE 6. Estimated annual rate of hospitalizations with chronic obstructive pulmonary disease as the first-listed diagnosis, by sex and year — United States, National Hospital Discharge Survey, 1980–2000**



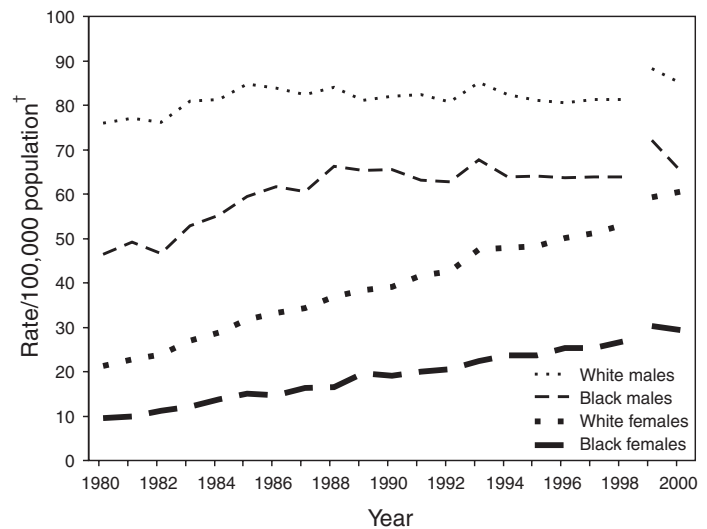
\* Age-adjusted to 2000 U.S. population.  
 † Represents a statistically significant difference from rate among females.

**FIGURE 7. Estimated annual rate of hospitalizations with chronic obstructive pulmonary disease as the first-listed diagnosis, by race and year — United States, National Hospital Discharge Survey, 1980–2000**



\* Age-adjusted to 2000 U.S. population.  
 † Represents a statistically significant difference from rate among blacks.

**FIGURE 8. Annual rate of death for chronic obstructive pulmonary disease as the underlying cause of death,\* by sex, race and year — United States, Mortality Component of the National Vital Statistics System, 1980–2000**



\* Codes 490–492 and 496 (1980–1998) from World Health Organization. Manual of the international statistical classification of diseases, injuries, and causes of death, 9<sup>th</sup> revision. Geneva: World Health Organization, 1977.  
 † Codes J40–J44 (1999–2000) from World Health Organization. International statistical classification of diseases and related health problems, 10<sup>th</sup> revision. Geneva: World Health Organization, 1992.



**TABLE 1. Estimated number of persons with self-reported, lifetime emphysema or chronic bronchitis during the preceding 12 months (1980–1996) or self-reported, physician-diagnosed lifetime emphysema or chronic bronchitis during the preceding 12 months (1997–2000), by race, sex, and age group — United States, National Health Interview Survey, 1980–2000\***

Variable	1980	1985	1990	1995	1996	1997	1998	1999	2000
<b>Race</b>									
White	6,623,000	7,944,000	9,087,000	9,524,000	8,837,000	9,408,000	8,968,000	8,587,000	9,178,000
Black	395,000	680,000	664,000	905,000	959,000	1,175,000	891,000	778,000	976,000
Other	125,000†	68,000†	87,000†	264,000	314,000	344,000	294,000	337,000	361,000
<b>Sex</b>									
Male	3,477,000	3,186,000	3,640,000	3,867,000	3,788,000	4,083,000	3,718,000	3,576,000	3,798,000
Female	3,665,000	5,505,000	6,197,000	6,825,000	6,323,000	6,844,000	6,434,000	6,126,000	6,717,000
<b>Age group (yrs)</b>									
25–44	2,090,000	3,122,000	3,583,000	4,121,000	3,908,000	3,670,000	3,173,000	3,087,000	3,157,000
45–54	1,149,000	1,205,000	1,498,000	2,071,000	1,877,000	2,043,000	2,055,000	1,811,000	2,184,000
55–64	1,500,000	1,631,000	1,590,000	1,674,000	1,711,000	1,845,000	1,748,000	1,725,000	1,879,000
65–74	1,749,000	1,811,000	2,023,000	1,683,000	1,470,000	2,021,000	1,871,000	1,639,000	1,721,000
≥75	655,000	921,000	1,143,000	1,144,000	1,145,000	1,348,000	1,306,000	1,439,000	1,573,000
<b>Total§</b>	<b>7,143,000</b>	<b>8,690,000</b>	<b>9,837,000</b>	<b>10,693,000</b>	<b>10,111,000</b>	<b>10,927,000</b>	<b>10,153,000</b>	<b>9,701,000</b>	<b>10,515,000</b>

\* All relative standard errors are &lt;30%, unless otherwise indicated.

† Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

§ Numbers for each variable might not add to total because of rounding.

**TABLE 2. Estimated annual prevalence\* of self-reported, lifetime emphysema or chronic bronchitis during the preceding 12 months, (1980–1996) or self-reported, physician-diagnosed lifetime emphysema or chronic bronchitis during the preceding 12 months (1997–2000), by race, sex, and age group — United States, National Health Interview Survey, 1980–2000†**

Variable	1980	1985	1990	1995	1996	1997	1998	1999	2000
<b>Race§</b>									
White	58.9¶	63.4	67.5	67.2	62.1	65.7	62.4	59.4	63.6
Black	31.2¶	45.8	39.7	50.3	52.0	63.2	47.4	40.8	50.4
Other	46.3**	19.5**	17.4**	39.8	42.5	40.0	31.0	33.0	31.4
<b>Sex§</b>									
Male	58.0	47.3¶	49.2¶	48.9¶	47.3¶	50.3¶	45.4¶	43.3¶	45.5¶
Female	53.9	72.3¶	75.2¶	78.2¶	71.6¶	76.7¶	71.5¶	67.3¶	73.2¶
<b>Age group (yrs)</b>									
25–44	34.6	43.4	44.8	49.6	46.9	44.0	38.2	37.4	38.5
45–54	51.2	53.8	59.2	67.0	58.4	61.1	59.8	50.9	59.2
55–64	71.2	73.7	74.8	80.5	81.4	85.9	78.4	74.9	79.5
65–74	113.6	108.5	111.8	90.7	79.8	111.2	103.8	92.0	96.4
≥75	75.3	89.0	97.8	88.4	85.8	97.4	92.0	98.1	106.0
<b>Total§</b>	<b>55.8</b>	<b>60.5</b>	<b>62.9</b>	<b>64.3</b>	<b>60.1</b>	<b>64.2</b>	<b>59.1</b>	<b>55.9</b>	<b>60.0</b>

\* Per 1,000 population.

† All relative standard errors are &lt;30%, unless otherwise indicated.

§ Age-adjusted to 2000 U.S. population.

¶ Represents a statistically significant difference between blacks and whites or males and females for that year.

\*\* Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

**TABLE 3. Estimated number of persons with evidence of either mild or moderate obstructive lung disease, by race, sex, and age group — United States, National Health and Nutrition Examination Surveys I, 1971–1975, and III, 1988–1994\***

Variable	Mild obstructive lung disease (FEV <sub>1</sub> /FVC <sup>†</sup> < 70% and FEV <sub>1</sub> ≥ 80% Predicted)		Moderate obstructive lung disease (FEV <sub>1</sub> /FVC < 70% and FEV <sub>1</sub> < 80% Predicted)	
	1971–1975	1988–1994	1971–1975	1988–1994
<b>Race</b>				
White	5,626,000	10,750,000	6,130,000	10,882,000
Black	814,000	843,000	635,000	893,000
Other	— <sup>§</sup>	455,000 <sup>¶</sup>	— <sup>§</sup>	317,000 <sup>¶</sup>
<b>Sex</b>				
Male	3,668,000	7,181,000	4,453,000	6,401,000
Female	2,823,000	4,867,000	2,357,000	5,691,000
<b>Age group (yrs)</b>				
25–44	1,989,000	2,890,000	1,800,000	1,799,000
45–54	1,737,000	2,095,000	1,672,000	1,741,000
55–64	1,637,000	2,503,000	1,870,000	2,786,000
65–74	1,128,000	2,760,000	1,468,000	3,447,000
≥75	—	1,801,000	—	2,318,000
<b>Total<sup>¶</sup> **</b>	<b>6,491,000</b>	<b>12,049,000</b>	<b>6,810,000</b>	<b>12,092,000</b>

\* All relative standard errors are <30%, unless otherwise indicated.

<sup>†</sup> FEV<sub>1</sub> is the forced expiratory volume in 1 second, and FVC is the forced vital capacity.

<sup>§</sup> Relative standard error of the estimate exceeds 50%.

<sup>¶</sup> Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

\*\* Numbers for each variable might not add to total because of rounding error.

**TABLE 4. Estimated prevalence\* of either mild or moderate obstructive lung disease, by race, sex, and age group — United States, National Health and Nutrition Examination Surveys I, 1971–1975, and III, 1988–1994<sup>†</sup>**

Variable	Mild obstructive lung disease (FEV <sub>1</sub> /FVC <sup>§</sup> < 70% and FEV <sub>1</sub> ≥ 80% Predicted)		Moderate obstructive lung disease (FEV <sub>1</sub> /FVC < 70% and FEV <sub>1</sub> < 80% Predicted)	
	1971–1975	1988–1994	1971–1975	1988–1994
<b>Race* <sup>¶</sup></b>				
White	70.6	70.8	76.5	67.1
Black	112.3**	50.2**	87.5**	55.8**
Other	— <sup>††</sup>	80.0 <sup>§§</sup>	— <sup>††</sup>	52.8
<b>Sex* <sup>¶</sup></b>				
Male	88.8	90.9	108.1**	74.3**
Female	60.9	48.7	50.8	58.2
<b>Age group (yrs)</b>				
25–44	48.9**	36.8**	44.3**	22.9**
45–54	101.1	87.1	97.3**	72.4**
55–64	123.2	126.2	140.7	140.5
65–74	133.5	165.4	173.8	206.6
≥75	—	178.2	—	229.3
<b>Total* <sup>¶</sup></b>	<b>73.9</b>	<b>69.0</b>	<b>77.4</b>	<b>65.7</b>

\* Per 1,000 population; limited to ages 25–74 years.

<sup>†</sup> All relative standard errors are <30%, unless otherwise indicated.

<sup>§</sup> FEV<sub>1</sub> is the forced expiratory volume in 1 second, and FVC is the forced vital capacity.

<sup>¶</sup> Age-adjusted to 2000 U.S. population.

\*\* Represents a statistically significant difference between the National Health and Nutrition Examination Surveys I and III for that subgroup.

<sup>††</sup> Relative standard error of the estimate exceeds 50%.

<sup>§§</sup> Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

**TABLE 5. Average annual percentage of persons who report being employed, by self-reported chronic obstructive pulmonary disease (COPD) status and percentage with activity limitation, by self-reported COPD status; adults aged ≥25 years — United States, National Health Interview Survey, 1980–1996\*<sup>†</sup>**

Variable	1980–1982	1985–1987	1990–1992	1994–1996
<b>Adults who report being employed (%)</b>				
Adults with self-reported COPD	49.7	53.8	57.8	57.5
Adults without self-reported COPD	59.7	62.2	64.0	64.6
<b>Adults with any activity limitation (%)</b>				
Adults with self-reported COPD	44.1	37.4	36.8	38.6
Adults without self-reported COPD	20.0	18.5	18.4	18.4
<b>Adults with COPD who reported COPD-associated activity limitation (%)</b>				
All	14.1	10.4	8.4	8.0

\* Relative standard errors are <30%, unless otherwise indicated.

<sup>†</sup> Rates adjusted to the 2000 population of adults aged ≥25 years.

**TABLE 6. Percentage of subjects reporting functional limitations, by chronic obstructive pulmonary disease (COPD) status, defined by self-report or on the basis of pulmonary function tests among adults aged  $\geq 25$  years — United States, National Health and Nutrition Examination Survey III, Phase 2, 1991–1994\***

Variable	N	Weighted percentage	Difficulty walking $\frac{1}{4}$ mile (%) <sup>†</sup>	Difficulty lifting or carrying 10 pounds (%) <sup>†</sup>	Need help in handling routine needs (%) <sup>†</sup>
Self-reported COPD					
No	6,289	94.8	11.2 <sup>§</sup>	9.5 <sup>§</sup>	2.4
Yes	311	5.2	34.2 <sup>§</sup>	30.5 <sup>§</sup>	5.9
COPD, based on pulmonary function tests					
FEV <sub>1</sub> /FVC <sup>¶</sup> >70% (i.e., normal)	5,581	84.8	12.0	10.0	2.8
FEV <sub>1</sub> /FVC $\leq$ 70% and FEV <sub>1</sub> $\geq$ 80% (i.e., mild)	488	7.3	10.9	11.2	1.2
FEV <sub>1</sub> /FVC $\leq$ 70% and FEV <sub>1</sub> < 80% (i.e., moderate)	531	7.8	18.0	13.9	7.0**

\* All relative standard errors are &lt;30%.

<sup>†</sup> Age-adjusted to population distribution of the National Health and Nutrition Examination Survey III sample.<sup>§</sup> Represents a statistically significant difference between subjects with and without COPD.<sup>¶</sup> FEV<sub>1</sub> is the forced expiratory volume in 1 second, and FVC is the forced vital capacity.

\*\* Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

**TABLE 7. Estimated annual number of physician office visits (1980–1998) and hospital outpatient visits (1995–1998) for chronic obstructive pulmonary disease as the first-listed diagnosis, by race, sex, and age group — United States, National Ambulatory Medical Care Survey, 1980–2000, and National Hospital Ambulatory Medical Care Survey, 1995–2000\***

Variable	1980	1985	1990	1995	1996	1997	1998	1999	2000
<b>Race</b>									
White	4,964,000	7,336,000	9,099,000	10,622,000	8,650,000	9,245,000	13,223,000	9,138,000	6,996,000
Black	484,000 <sup>†</sup>	387,000 <sup>†</sup>	525,000 <sup>†</sup>	472,000 <sup>†</sup>	931,000 <sup>†</sup>	631,000 <sup>†</sup>	678,000 <sup>†</sup>	1,106,000	614,900 <sup>†</sup>
Other	— <sup>§</sup>	— <sup>§</sup>	957,000 <sup>†</sup>	398,000 <sup>†</sup>	348,000 <sup>†</sup>	— <sup>§</sup>	— <sup>§</sup>	— <sup>§</sup>	— <sup>§</sup>
<b>Sex</b>									
Male	2,852,000	3,934,000	4,883,000	5,906,000	4,881,000	5,908,000	6,501,000	4,275,000	3,956,000
Female	2,664,000	3,942,000	5,698,000	5,587,000	5,047,000	4,900,000	7,697,000	6,080,000	4,041,000
<b>Age group (yrs)</b>									
25–44	1,462,000	1,936,000	3,109,000	2,651,000	2,215,000	2,605,000	3,221,000	1,784,000	1,446,000
45–54	659,000	869,000	1,270,000	1,334,000	1,147,000	1,588,000	1,616,000	1,294,000	1,182,000
55–64	574,000	1,741,000	1,999,000	2,289,000	1,801,000	1,113,000	2,757,000	2,276,000	1,110,000
65–74	769,000	2,080,000	2,440,000	2,846,000	2,646,000	2,796,000	3,987,000	2,854,000	2,175,000
$\geq 75$	2,052,000	1,251,000	1,762,000	2,373,000	2,120,000	1,895,000	2,618,000	2,147,000	2,084,000
<b>Total<sup>¶</sup></b>	<b>5,516,000</b>	<b>7,877,000</b>	<b>10,580,000</b>	<b>11,493,000</b>	<b>9,929,000</b>	<b>9,997,000</b>	<b>14,199,000</b>	<b>10,355,000</b>	<b>7,997,000</b>

\* All relative standard errors are &lt;30%, unless otherwise indicated.

<sup>†</sup> Relative standard error of the estimate is 30%–50%; the estimate is unreliable.<sup>§</sup> Relative standard error of the estimate exceeds 50%.<sup>¶</sup> Numbers for each variable might not add to total because of rounding error and missing race data for 1990.**TABLE 8. Estimated annual rate\* of physician office visits (1980–1998) and hospital outpatient visits (1995–1998) for chronic obstructive pulmonary disease as the first-listed diagnosis, by race, sex, and age group — United States, National Ambulatory Medical Care Survey, 1980–2000, and National Hospital Ambulatory Medical Care Survey, 1995–2000<sup>†</sup>**

Variable	1980	1985	1990	1995	1996	1997	1998	1999	2000
<b>Race<sup>§</sup></b>									
White	42.5	58.1 <sup>¶</sup>	67.1 <sup>¶</sup>	74.6 <sup>¶</sup>	60.2	63.7 <sup>¶</sup>	90.2 <sup>¶</sup>	61.8	46.9
Black	36.5**	25.7 <sup>¶</sup> **	31.2 <sup>¶</sup> **	25.4 <sup>¶</sup> **	49.3**	32.8 <sup>¶</sup> **	34.7 <sup>¶</sup> **	55.6	30.4**
Other	— <sup>††</sup>	— <sup>††</sup>	176.4**	58.9**	49.5**	— <sup>††</sup>	— <sup>††</sup>	— <sup>††</sup>	— <sup>††</sup>
<b>Sex<sup>§</sup></b>									
Male	45.7	57.4	65.3	74.2	60.6	62.5	78.7	51.9	46.8
Female	37.8	51.4	68.6	63.4	56.7	54.4	84.5	66.2	43.4
<b>Age group (yrs)</b>									
25–44	23.3	27.0	38.6	32.6	27.2	32.0	39.5	21.8	17.7
45–54	28.9	36.3	50.7	43.0	35.6	47.5	46.6	36.1	31.9
55–64	26.4	81.3	94.7	101.6	78.9	48.2	117.8	96.1	46.3
65–74	49.4	123.7	135.2	157.3	146.2	154.4	220.0	157.4	119.9
$\geq 75$	205.8	108.9	135.6	160.4	140.0	122.3	165.0	132.4	125.7
<b>Total<sup>§</sup></b>	<b>44.5</b>	<b>53.8</b>	<b>67.6</b>	<b>68.7</b>	<b>58.6</b>	<b>58.3</b>	<b>81.6</b>	<b>58.9</b>	<b>45.0</b>

\* Per 1,000 population.

<sup>†</sup> All relative standard errors are <30%, unless otherwise indicated.<sup>§</sup> Age-adjusted to 2000 U.S. population.<sup>¶</sup> Represents a statistically significant difference between blacks and whites or males and females for that year.

\*\* Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

<sup>††</sup> Relative standard error of the estimate exceeds 50%.

**TABLE 9. Estimated annual number of emergency department visits for chronic obstructive pulmonary disease as the first-listed diagnosis, by race, sex, and age group — United States, National Hospital Ambulatory Medical Care Survey, 1992–2000\***

Variable	1992	1995	1996	1997	1998	1999	2000
<b>Race</b>							
White	913,000	1,128,000	930,000	1,084,000	1,114,000	1,205,000	1,278,000
Black	158,000	241,000	247,000	241,000	276,000	285,000	243,000
Other	—†	54,000§	58,000§	—†	—†	—†	—†
<b>Sex</b>							
Male	422,000	686,000	546,000	570,000	573,000	730,000	651,000
Female	673,000	738,000	689,000	766,000	863,000	802,000	898,000
<b>Age group (yrs)</b>							
25–44	366,000	503,000	457,000	486,000	517,000	448,000	481,000
45–54	114,000	180,000	180,000	134,000	201,000	270,000	194,000
55–64	136,000	228,000	140,000	210,000	187,000	269,000	315,000
65–74	255,000	243,000	262,000	227,000	263,000	233,000	267,000
≥75	224,000	269,000	196,000	280,000	268,000	312,000	292,000
<b>Total¶</b>	<b>1,095,000</b>	<b>1,423,000</b>	<b>1,235,000</b>	<b>1,336,000</b>	<b>1,436,000</b>	<b>1,532,000</b>	<b>1,549,000</b>

\* All relative standard errors are <30%, unless otherwise indicated.

† Relative standard error of the estimate exceeds 50%.

§ Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

¶ Numbers for each variable might not add up to total because of rounding error.

**TABLE 10. Estimated annual rate\* of emergency department visits with chronic obstructive pulmonary disease as the first-listed diagnosis, by race, sex, and age group — United States, National Hospital Ambulatory Medical Care Survey, 1992–2000†**

Variable	1992	1995	1996	1997	1998	1999	2000
<b>Race§</b>							
White	64.9¶	78.1¶	63.7¶	73.4¶	74.9¶	80.2¶	84.3¶
Black	88.7¶	142.8¶	134.6¶	126.5¶	147.8¶	156.7¶	130.8¶
Other	—**	91.6††	94.3††	—**	—**	—**	—**
<b>Sex§</b>							
Male	57.5	90.0	70.8	74.1	72.7	93.0	80.7
Female	76.6	82.0	75.9	82.7	93.1	85.7	94.4
<b>Age group (yrs)</b>							
25–44	45.3	61.9	56.2	59.6	63.4	54.8	58.7
45–54	41.5	58.0	55.8	40.0	58.1	75.3	52.4
55–64	63.0	101.2	61.3	90.7	79.8	113.7	131.6
65–74	141.0	134.6	145.0	125.2	145.0	128.5	147.1
≥75	163.1	181.6	129.3	180.4	168.8	192.5	176.1
<b>Total§</b>	<b>67.6</b>	<b>84.9</b>	<b>72.7</b>	<b>77.6</b>	<b>82.6</b>	<b>87.4</b>	<b>87.2</b>

\* Per 10,000 population.

† All relative standard errors are <30%, unless otherwise indicated.

§ Age-adjusted to 2000 U.S. population.

¶ Represents a statistically significant difference between blacks and whites or males and females for that year.

\*\* Relative standard error of the estimate exceeds 50%.

†† Relative standard error of the estimate is 30%–50%; the estimate is unreliable.



**TABLE 11. Estimated annual number of hospitalizations for chronic obstructive pulmonary disease, stratified by race, sex, and age group — United States, National Hospital Discharge Survey, 1980–2000\***

Variable	1980	1985	1990	1995	1996	1997	1998	1999	2000
<b>Race</b>									
White	542,000	510,000	370,000	474,000	453,000	502,000	529,000	556,000	491,000
Black	35,000	36,000	31,000	63,000	57,000	56,000	61,000	68,000	58,000
Other	6,000†	11,000	4,000†	12,000	13,000	13,000	21,000	15,000	12,000
Unidentified	68,000	45,000	58,000	96,000	123,000	124,000	126,000	148,000	165,000
<b>Sex</b>									
Male	367,000	316,000	221,000	283,000	289,000	296,000	321,000	332,000	322,000
Female	285,000	286,000	242,000	362,000	358,000	400,000	416,000	455,000	404,000
<b>Age group (yrs)</b>									
25–44	60,000	40,000	34,000	41,000	35,000	40,000	37,000	41,000	37,000
45–54	71,000	53,000	44,000	72,000	65,000	74,000	63,000	69,000	77,000
55–64	154,000	142,000	87,000	109,000	121,000	118,000	137,000	144,000	134,000
65–74	204,000	204,000	143,000	210,000	196,000	205,000	227,000	236,000	202,000
≥75	163,000	164,000	156,000	213,000	230,000	259,000	273,000	298,000	276,000
<b>Total§</b>	<b>652,000</b>	<b>603,000</b>	<b>463,000</b>	<b>645,000</b>	<b>647,000</b>	<b>696,000</b>	<b>737,000</b>	<b>787,000</b>	<b>726,000</b>

\* All relative standard errors are <30%, unless otherwise indicated.

† Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

§ Numbers for each variable might not add to total because of rounding error.

**TABLE 12. Estimated annual rates\* of hospitalization for chronic obstructive pulmonary disease, stratified by race, sex, and age group — United States, National Hospital Discharge Survey, 1980–2000†**

Variable	1980	1985	1990	1995	1996	1997	1998	1999	2000
<b>Race§ ¶</b>									
White	45.3**	39.6**	27.2**	32.4	30.6	33.5	34.8	36.1	31.5
Black	30.6**	27.9**	22.6**	41.5	37.8	36.3	39.1	43.1	36.0
Other	39.9††	39.5	13.0††	26.3	28.2	27.9	43.4	28.3	21.3
<b>Sex§</b>									
Male	65.3**	52.3**	35.1**	40.2	40.9	41.0	43.9	44.4	42.4
Female	38.2**	35.1**	28.0**	38.6	37.5	41.5	42.5	45.7	40.2
<b>Age group (yrs)</b>									
25–44	9.5	5.6	4.2	5.1	4.4	4.9	4.5	5.0	4.5
45–54	31.2	22.3	17.4	23.2	20.1	22.1	18.1	19.1	20.8
55–64	71.1	66.1	41.4	48.2	52.9	51.2	58.8	67.4	55.9
65–74	131.0	121.6	79.1	115.9	108.4	113.4	125.2	130.1	111.6
≥75	163.0	142.5	120.0	144.2	151.7	160.9	172.0	184.0	166.3
<b>Total§</b>	<b>49.0</b>	<b>41.8</b>	<b>30.4</b>	<b>38.9</b>	<b>38.5</b>	<b>40.9</b>	<b>42.6</b>	<b>44.9</b>	<b>40.8</b>

\* Per 10,000 population.

† All relative standard errors are <30%, unless otherwise indicated.

§ Age-adjusted to 2000 U.S. population.

¶ Rates by race are underestimates because of the substantial proportion of unidentified race data (see Table 11).

\*\* Represents a statistically significant difference between blacks and whites or males and females for that year.

†† Relative standard error of the estimate is 30%–50%; the estimate is unreliable.

**TABLE 13. Annual number of deaths with chronic obstructive pulmonary disease as the underlying cause of death, stratified by race, sex, and age group — United States, Mortality Component of the National Vital Statistics System, 1980–2000\***

Variable	1980 <sup>†</sup>	1985	1990	1995	1996	1997	1998	1999 <sup>§</sup>	2000
<b>Race</b>									
White	49,223	65,445	75,391	89,605	92,445	95,415	98,691	110,165	111,260
Black	2,735	3,802	4,586	5,329	5,501	5,614	5,830	6,679	6,379
Other	235	467	679	927	934	1,071	1,069	1,295	1,415
<b>Sex</b>									
Male	36,878	44,598	47,053	51,349	51,925	53,477	54,466	60,311	59,118
Female	15,315	25,116	33,603	44,512	46,955	48,623	51,124	57,828	59,936
<b>Age group (yrs)</b>									
25–44	302	286	314	387	399	439	421	494	506
45–54	1,869	1,868	1,748	2,057	2,106	2,171	2,151	2,472	2,627
55–64	8,616	9,741	9,506	9,123	9,133	9,283	9,410	10,643	10,338
65–74	19,127	23,986	26,155	28,710	28,837	29,332	29,879	31,699	31,041
≥75	22,279	35,058	42,933	55,584	58,405	60,875	63,729	72,831	74,542
<b>Total</b>	<b>52,193</b>	<b>69,714</b>	<b>80,656</b>	<b>95,861</b>	<b>98,880</b>	<b>102,100</b>	<b>105,590</b>	<b>118,139</b>	<b>119,054</b>

\* All relative standard errors are <30%.

<sup>†</sup> Codes 490–492 (1980–1998) from World Health Organization. Manual of the international statistical classification of diseases, injuries, and causes of death, 9<sup>th</sup> revision. Geneva: World Health Organization, 1977.

<sup>§</sup> Codes J40–J44 (1999–2000) from World Health Organization. International statistical classification of diseases and related health problems, 10<sup>th</sup> revision. Geneva: World Health Organization, 1992.

**TABLE 14. Annual rates\* for deaths with chronic obstructive pulmonary disease as the underlying cause of death, stratified by race, sex, and age group — United States, Mortality Component of the National Vital Statistics System, 1980–2000<sup>†</sup>**

Variable	1980 <sup>§</sup>	1985	1990	1995	1996	1997	1998	1999 <sup>¶</sup>	2000
<b>Race</b>									
White**	42.4 <sup>††</sup>	52.0 <sup>††</sup>	55.4 <sup>††</sup>	60.9 <sup>††</sup>	61.9 <sup>††</sup>	63.0 <sup>††</sup>	64.2 <sup>††</sup>	70.6 <sup>††</sup>	70.1 <sup>††</sup>
Black	24.6 <sup>††</sup>	32.3 <sup>††</sup>	36.4 <sup>††</sup>	38.9 <sup>††</sup>	39.6 <sup>††</sup>	39.7 <sup>††</sup>	40.6 <sup>††</sup>	46.0 <sup>††</sup>	42.9 <sup>††</sup>
Other	16.3	22.3	25.7	25.3	24.6	26.6	25.0	29.1	30.6
<b>Sex**</b>									
Male	73.0 <sup>††</sup>	81.9 <sup>††</sup>	80.0 <sup>††</sup>	78.9 <sup>††</sup>	78.3 <sup>††</sup>	79.0 <sup>††</sup>	79.0 <sup>††</sup>	85.9 <sup>††</sup>	82.6 <sup>††</sup>
Female	20.1 <sup>††</sup>	30.2 <sup>††</sup>	37.0 <sup>††</sup>	45.4 <sup>††</sup>	47.2 <sup>††</sup>	48.1 <sup>††</sup>	49.9 <sup>††</sup>	55.6 <sup>††</sup>	56.7 <sup>††</sup>
<b>Age group (yrs)</b>									
25–44	0.5	0.4	0.4	0.5	0.5	0.5	0.5	0.6	0.6
45–54	8.2	7.8	7.0	6.6	6.5	6.5	6.2	6.9	7.1
55–64	39.7	45.5	45.0	40.5	40.0	40.2	40.2	45.0	43.1
65–74	122.8	142.7	144.9	158.7	159.3	162.0	164.9	174.9	171.2
≥75	223.5	294.6	330.3	375.9	385.7	392.7	401.8	449.1	449.7
<b>Total**</b>	<b>40.7</b>	<b>50.0</b>	<b>53.3</b>	<b>58.4</b>	<b>59.3</b>	<b>60.2</b>	<b>61.3</b>	<b>67.6</b>	<b>66.9</b>

\* Per 100,000 population.

<sup>†</sup> All relative standard errors are <30%.

<sup>§</sup> Codes 490–492 and 496 (1980–1998) from World Health Organization. Manual of the international statistical classification of diseases, injuries, and causes of death, 9<sup>th</sup> revision. Geneva: World Health Organization, 1977.

<sup>¶</sup> Codes J40–J44 (1999–2000) from World Health Organization. International statistical classification of diseases and related health problems, 10<sup>th</sup> revision. Geneva: World Health Organization, 1992.

\*\* Age-adjusted to 2000 U.S. population.

<sup>††</sup> Represents a statistically significant difference between blacks and whites or males and females for that year.

All *MMWR* references are available on the Internet at <http://www.cdc.gov/mmwr>. Use the search function to find specific articles.

Use of trade names and commercial sources is for identification only and does not imply endorsement by the U.S. Department of Health and Human Services.

References to non-CDC sites on the Internet are provided as a service to *MMWR* readers and do not constitute or imply endorsement of these organizations or their programs by CDC or the U.S. Department of Health and Human Services. CDC is not responsible for the content of these sites. URL addresses listed in *MMWR* were current as of the date of publication.

The *Morbidity and Mortality Weekly Report (MMWR)* series is prepared by the Centers for Disease Control and Prevention (CDC) and is available free of charge in electronic format and on a paid subscription basis for paper copy. To receive an electronic copy each week, send an e-mail message to [listserv@listserv.cdc.gov](mailto:listserv@listserv.cdc.gov). The body content should read *SUBscribe mmwr-toc*. Electronic copy also is available from CDC's Internet server at <http://www.cdc.gov/mmwr> or from CDC's file transfer protocol server at <ftp://ftp.cdc.gov/pub/publications/mmwr>. To subscribe for paper copy, contact Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402; telephone 202-512-1800.

Data in the weekly *MMWR* are provisional, based on weekly reports to CDC by state health departments. The reporting week concludes at close of business on Friday; compiled data on a national basis are officially released to the public on the following Friday. Address inquiries about the *MMWR* series, including material to be considered for publication, to Editor, *MMWR* Series, Mailstop C-08, CDC, 1600 Clifton Rd., N.E., Atlanta, GA 30333; telephone 888-232-3228.

All material in the *MMWR* series is in the public domain and may be used and reprinted without permission; however, citation of the source is appreciated.